



KTH Teknikvetenskap

**SF2729 GROUPS AND RINGS
LECTURE NOTES
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SANDRA DI ROCCO

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1. VECTOR SPACES OVER A FIELD

This is a notion that you have studied in linear algebra when the fields considered were only \mathbb{R} or \mathbb{C} . All the important results on vectors, basis and dimension generalize to all fields. The scalars are no longer just real or complex numbers, but the elements of a field.

Definition 1.1. Let F be a field. A vector space over F consists of an abelian group $(V, +)$ endowed with a “scalar multiplication”, i.e a function

$$F \times V \rightarrow V, (a, v) \mapsto av \in V,$$

such that:

- (1) $(ab)v = a(bv)$,
- (2) $(a + b)v = av + bv$,
- (3) $a(v + w) = av + aw$,
- (4) $1_F v = v$.

Example 1.2. $\mathbb{R}^n, F^n, M_{m,n}(\mathbb{C})$. For all fields F , $F[x]$ is a vector space over F .

Example 1.3. Let K be a subfield of F . Then F is a vector space over K with scalar multiplication given by the operation of multiplication.

Definition 1.4. $W \subset V$ is a subvector space if it is a subgroup and the scalar multiplication restricts to a scalar multiplication on W .

Definition 1.5. • A vector v is a linear combination on vectors (v_1, \dots, v_l) if there are elements a_1, \dots, a_l such that $v = \sum_{i=1}^l a_i v_i$.

- Elements v_1, \dots, v_k are linearly independent if:

$$a_1 v_1 + \dots + a_k v_k = 0_V \Rightarrow a_1 = \dots = a_k = 0_F.$$

Elements v_1, \dots, v_k are linearly dependent if there are a_1, \dots, a_k (at least one of which non zero) such that $a_1 v_1 + \dots + a_k v_k = v$.

- Let $I = \{v_i\}$ be a set of vectors in V (possibly infinite). By $\text{Span}(I)$ we denote the subspace of all possible finite linear combinations of vectors in I .
- V is said to be *finitely generated* if there is a finite number v_1, \dots, v_k , such that $V = \text{Span}(v_1, \dots, v_k)$.
- A subset $B = \{v_1, \dots, v_k\} \subset V$ is a basis of V if $V = \text{Span}(v_1, \dots, v_k)$ and v_1, \dots, v_k are linearly independent.

Example 1.6. Consider $\mathbb{Q}[\sqrt{2}]$. It is a vector space over \mathbb{Q} with scalar product:

$$(q, a + b\sqrt{2}) \mapsto (qa) + (qb)\sqrt{2}.$$

One sees that the vectors $(1, \sqrt{2})$ are linearly independent and generate the whole space.

Similarly to what showed in linear algebra one proves that two basis must have the same number of vectors and thus defines:

$$\dim(V) = n \text{ is there is a basis consisting of } n \text{ vectors.}$$

otherwise one says that V is infinite dimensional.

Example 1.7. The polynomials $F[x]$ is an infinite dimensional vector space over F . If one looks at only polynomial up to degree k , $F_k[x]$ then this is a vector space over F of dimension $k + 1$. The vector space $\mathbb{Q}[\sqrt{2}]$ has dimension 2 over \mathbb{Q} .

2. FIELD EXTENSIONS

Definition 2.1. We say that a field L is an extension of a field K if K is a subfield of L .

Example 2.2. \mathbb{R} and \mathbb{C} are extensions of \mathbb{Q} . The field $\mathbb{Z}_3[x]/x^2 + 1$ is an extension of \mathbb{Z}_3 .

Definition 2.3. Let L be an extension of K . The degree of the extension is:

$$[L : K] = \dim_K(L)$$

If the dimension is finite then the extension is said to be a finite extension.

Example 2.4. Notice that $\mathbb{C} = \text{Span}_{\mathbb{R}}(1, i)$, it is then a finite extension with degree $[\mathbb{C}, \mathbb{R}] = 2$.

Another example of finite extension is $\mathbb{Z}_3[x]/x^2 + 1$. Every element is a combination of $1 + (x^2 + 1)$ and $x + (x^2 + 1)$. These two elements are linearly independent and thus:

$$\dim_{\mathbb{Z}}(\mathbb{Z}_3[x]/x^2 + 1) = [\mathbb{Z}_3[x]/x^2 + 1 : \mathbb{Z}_3] = 2.$$

Proposition 2.5. Assume that L is an extension of K and K is an extension of F . Then

$$[L : F] = [L : K][K : F].$$

Proof. Notice that if L is an infinite extension of K it will be an infinite extension of F . Viceversa if K is an infinite extension of F then L will certainly be an infinite extension of F . We may assume that the extensions are finite. Let $[L : K] = m, [K : F] = n$. Let a_1, \dots, a_n a basis of K over F and let b_1, \dots, b_m a basis of L over K . We shall prove that $\{a_i b_j\}$ for a basis of L over F . They certainly generate L as for every element $a \in L, a = \sum \beta_i b_i$ and for every $\beta_i \in K, \beta_i = \sum \alpha_{ij} a_j$. Now assume that

$$\sum_{i=1}^m \sum_{j=1}^n \gamma_{ij} a_i b_j = 0_L.$$

Then $\sum_1^n \gamma_{ij} a_i = 0_K$ for $j = 1, \dots, m$. But then we have that $\gamma_{ij} = 0_F$ for all $i = 1 \dots n$. \square

EXERCISE Prove that if L is an extension of K then $L = K$ if and only if $[L : K] = 1$.

Observe that one can define an extension by "adding" certain elements. Let S be a set of elements of a field L and let K be a subfields of L . one can define $K(S)$ to be the smallest subfield of L containing K and S . This is an extension of K . For example $\mathbb{R}(i) = \mathbb{C}$. If $S = \{a\}$ the extension $K(a)$ is said to be a *simple extension*.

EXERCISE Show that $F[a] = \text{Im}(ev_a : K[x] \rightarrow L) \subseteq F(a)$ and that $F[a] = F(a)$ if and only if $F[a]$ is a field.

3. ALGEBRAIC EXTENSIONS

Definition 3.1. Let L be an extension of K . An element $\alpha \in L$ is said to be *algebraic over F* if there is a $0 \neq f(x) \in F[x]$ such that $f(\alpha) = 0_L$, i.e. $f \in \text{Ker}(ev_\alpha)$.

Example 3.2. The elements $\sqrt{2}, i$ are algebraic over \mathbb{Q} because they are roots of $x^2 - 2, x^2 + 1$.

Definition 3.3. An extension L over K is said to be an *algebraic extension* if every element $\alpha \in L$ is algebraic over K . An extension which is not algebraic is said to be *transcendental*.

Example 3.4. \mathbb{C} is an algebraic extension of \mathbb{R} and \mathbb{R} is an algebraic extension of \mathbb{Q} .

Proposition 3.5. *Every finite extension is algebraic.*

Proof. Let L be an extension of K with $[L : K] = n$. Let $\alpha \in L$. The elements $1, \alpha, \alpha^2, \dots, \alpha^n$ must be linearly independent over K which means that there are $a_0, \dots, a_n \in K$ such that $a_0 + a_1 \alpha + \dots + a_n \alpha^n = 0$. Obviously α is the root of the polynomial $f(x) = \sum a_i x^i \in K[x]$. \square

As observed above, if $a \in L$ and L is an extension of K the we have that

$$K[a] \cong K[x]/\text{Ker}(ev_a).$$

Because $F[x]$ is a PID there is a polynomial $f_a \in K[x]$ such that $\text{Ker}(ev_a) = (f_a)$. Recall that f_a is uniquely defined up to a non vanishing constant. In particular there is ONLY one generator which is monic, i.e. with leading coefficient equal to one.

The monic generator is called the *minimal polynomial of a over K* .

Proposition 3.6. *Let L be an extension of K and let $a \in L$. Let $p(x) \in K[x]$ be a monic polynomial. The following statements are equivalent:*

- (1) $p(x)$ is the minimal polynomial of a over K .
- (2) $p(x)$ is irreducible and $p(a) = 0$.
- (3) $p(x)$ is the minimal polynomial (w.r.t degree) in $\text{Ker}(ev_a)$.

Proof. (1) \Rightarrow (2) Let $p(x)$ be the minimal polynomial of a over K . then clearly $p(a) = 0$. If $p(x) = g(x)h(x)$ then $g(a) = 0$ or $h(a) = 0$. Assume $g(a) = 0$ then $g \in \text{Ker}(ev_a)$ and this p/g . But we are assuming that p is minimal which is a contradiction.

(2) \Rightarrow (1) Because $p(a) = 0$ then f_a/p and because p is irreducible it is $p = \alpha f_a$ for a constant $\alpha \in K^*$. But f_a and p are monic which implies $\alpha = 1$.

(1) \Rightarrow (3) Obvious. (3) \Rightarrow (1). Such p is certainly a generator of $\text{Ker}(ev_a)$. \square

We conclude that $K[x]/(f_a) \cong K[a]$. Because f_a is irreducible the ideal (f_a) is a maximal ideal and therefore $F[a]$ is a field and it follows that:

$$K[a] = K(a).$$

If $\deg(f_a) = n$, we have that

$$F[a] = \left\{ \sum_{i=0}^{n-1} a_i x^i + (f_a) \right\}.$$

It follows that the elements $1 + (f_a), x + (f_a), \dots, x^{n-1} + (f_a)$ form a basis for $K[x]/(f_a)$ and thus using the isomorphism:

$$K[x]/(f_a) \cong K[a] \text{ via } p(x) + (f_a) \mapsto p(a)$$

we see that $1, a, \dots, a^{n-1}$ is a basis of $K(a)$ and that

$$[F(a) : K] = n.$$

Example 3.7. the polynomial $x^2 - 2$ is the minimal polynomial for $\sqrt{2}$ over \mathbb{Q} . we have then that:

$$\mathbb{Q}(\sqrt{2}) \cong \mathbb{Q}[\sqrt{2}] \cong \mathbb{Q}[x]/(x^2 - 2)$$

Similarly $\mathbb{C} \cong \mathbb{R}(i) \cong \mathbb{R}[i] \cong \mathbb{R}[x]/(x^2 + 1)$.

We can then conclude that:

α is algebraic over K if and only if $K(\alpha) = K[\alpha]$ is a finite extension of K and moreover $[K(\alpha) : K]$ is equal to the degree of the minimal polynomial of α over K .

EXERCISE Let L be a field extension of K . Show that the set of the elements in L which are algebraic over K is a subfield of L containing K .

Definition 3.8. This subfield is said to be the algebraic closure of K in L . If the algebraic closure of a field K is the field K itself then K is said to be algebraically closed.

Example 3.9. The field \mathbb{Q} is not algebraically closed in \mathbb{R} , we know that $\sqrt{2} \notin \mathbb{Q}$. Observe that for every $n \geq 1$ then $[\mathbb{Q}(\sqrt{2}^n) : \mathbb{Q}] = n$ since $x^n - 2$ is the minimal polynomial. It follows that if $\overline{\mathbb{Q}}$ is the algebraic closure of \mathbb{Q} in \mathbb{R} then:

$$[\overline{\mathbb{Q}} : \mathbb{Q}] \geq [\mathbb{Q}(\sqrt{2}^n) : \mathbb{Q}] = n \text{ for all } n \geq 1$$

which implies that $\overline{\mathbb{Q}}$ is not a finite extension. This shows that Proposition 3.5 cannot be inverted.

EXERCISE Let F be a subfield of L . Show that the algebraic closure of F in L is algebraically closed.

RECOMMENDED EXERCISES

- VI-29 29, 30, 31, 36, 37.
 - VI-30 21, 22, 24.
 - VI-31 22, 23, 24, 25, 28
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